

LAMINATES FOR ENCAPSULATING DEVICES**Field of the Invention**

The present invention relates to the fabrication of
5 devices. More particularly, the invention relates to
packaging of devices.

Background of the Invention

In device fabrication, one or more device layers
10 are formed on a substrate. The layers are sequentially
deposited and patterned to create features on the
surface of the substrate. The layers can be patterned
individually and/or as a combination of layers to form
the desired features. The features serve as components
15 that perform the desired functions, creating the device.

One type of device which is of particular interest
is a light emitting diode (LED). LEDs can have a
variety of applications. For example, a plurality of
LED cells or pixels can be formed on a substrate to
20 create a pixelated LED device for use as a display, such
as a flat panel display (FPD) for telephones, computer
displays, TV screens and the like.

Typically, an LED pixel comprises one or more
functional layers sandwiched between two electrodes to

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form a functional stack. Charge carriers are injected from both electrodes. These charge carriers recombine in the functional layer or layers, causing visible radiation to emit. Recently, significant advances have
5 been made utilizing organic functional layers to form organic light emitting diodes (OLEDs).

OLED pixels are very sensitive to the environment. Exposure to moisture and/or air causes rapid degradation of the OLED, creating reliability problems. Some of the
10 substances used to build the layers are sensitive organic compounds and some reactive metals like Calcium and Magnesium. These materials are extremely susceptible to damage caused by oxidation in the presence of oxygen and/or moisture. Thus, a package
15 which adequately protects the OLED from the environment is needed. Further, the package should be cost effective and conducive to high throughput to reduce the overall manufacturing cost and time.

20 Summary of the Invention

The invention relates to packaging of a device. In accordance with the invention, the device is package using a laminate. In one embodiment, laminates are placed on the top and bottom of a device. The laminates

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are pressed against the device and heated to activate a sealant which causes the laminates to adhere to the device. In one embodiment, the laminate is pressed against the device and heated using rollers.

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Brief Description of the Drawings

Fig. 1 shows an embodiment of the invention;

Fig. 2 shows an laminate for encapsulating an electrical device in accordance with one embodiment of the invention; and

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Figs. 3-5 illustrate a process for encapsulating an electrical device.

Preferred Embodiments of the Invention

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The invention relates generally to the fabrication of devices. In particular, the invention provides a cost effective package for encapsulating devices, particularly those formed on flexible or thin substrates.

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Fig. 1 shows a cross section of a device 110 in accordance with one embodiment of the invention. The device can be, for example, electrical, mechanical, or electromechanical. Microelectromechanical systems (MEMS) are also useful. The device comprises one or

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more active components formed on a substrate. The active components provide the desired electrical and/or mechanical functions.

To reduce the overall thickness of the device, the active components can be formed on a thin substrate, such as less than 0.3 mm thick. Forming the active components on a thin flexible substrate is also useful to provide a flexible device. The substrate comprises, for example, plastic, polymer, silicon, ceramic, glass, or quartz glass. Other types of substrates, such as semiconductor substrates are also useful. The thin substrate should provide adequate mechanical integrity to support the components during and after processing. Typically, the thin substrates are about 20 - 300 um.

In one embodiment, the device 101 comprises an electrical device, such as a pixelated OLED device. Terminals or pins (not shown) which enable electrical connections to the active components are provided. OLED devices are described in, for example, United States Patent 4,720,432 and Burroughes et. al, Nature 347 (1990) 539, which are herein incorporated by reference for all purposes. The pixels of the OLED device can be arranged to form an FPD. FPDs are used in various consumer electronic products, including cellular

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phones, cellular smart phones, personal organizers, pagers, advertising panel, touch screen displays, teleconferencing equipment, multimedia equipment, virtual reality products, and display kiosks. In one
5 embodiment, the organic LED device comprises a flexible substrate to provide bending, creating, for example, a flexible FPD.

The OLED pixels are materials formed on a substrate 105. In one embodiment, the substrate comprises a
10 transparent substrate and serves as the display surface. The substrate is prepared to support a laminate 120. For example, supports 150 are provided surrounding the OLEDs to support the laminate. The laminate covers the device and hermetically seals the components, protecting
15 them from the environment. The device can also include support posts (not shown) in the non-active regions to provide support for the laminate. This prevents the laminate from collapsing onto the components and affecting the device's functionality. Support posts are
20 particularly useful for flexible devices. Providing support posts in non-active regions the device is described in co-currently filed International Patent Application titled "Encapsulation of a Device" (attorney

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docket number 99E 1975), which is herein incorporated by reference for all purposes.

A second laminate 121 can be provided to cover the opposite side 116 of the device. As shown, the opposite side comprises the bottom surface of the substrate. The second laminate seals the substrate, preventing the diffusion of air and/or moisture. The laminate can also protect the organic display surface from, for example, scratches. To provide visibility to the display, a transparent laminate is used.

In one embodiment, the laminate comprises a flexible material. The flexible laminate is particularly useful with flexible devices, such as those formed on a flexible substrate. Depending on the optical requirements, a transparent or opaque laminate can be used. For example, the display side of the organic OLED device is encapsulated with a transparent laminate. As for the non-display side, the optical characteristics of the laminate is not important.

A sealant is used to attach the laminate on the device, sealing the components to protect them from moisture and air. The sealant, in one embodiment, can flow at a given temperature (activation temperature) to ensure complete sealing of the device. The activation

temperature of the sealant should be sufficiently low enough to avoid damaging the components of the device.

Fig. 2 shows a laminate 200 for encapsulating the device in accordance with one embodiment of the invention. As shown, the stack comprises a laminate substrate 210. The laminate substrate preferably comprises a material with sufficient thermal stability to maintain its mechanical integrity during the adhesion process. The thickness of the laminate substrate depends on the substrate material. Typically, the laminate substrate is about 10 - 400 μm thick. The thickness of the laminate substrate should be as thin as possible to reduce the overall device thickness.

In one embodiment, the substrate comprises a flexible material, such as a plastic film. Various commercially available plastic films are useful. Such films, for example, include transparent poly(ethylene terephthalate) (PET), poly(butylene terephthalate) (PBT), poly(ethylene naphthalate) (PEN), Polycarbonate (PC), polyimides (PI), polysulfones (PSO), and poly(p-phenylene ether sulfone) (PES). Other films such as polyethylene (PE), polypropylene (PP), poly(vinyl chloride) (PVC), polystyrene (PS) and poly(methyl methacrylate) (PMMA) can also be useful.

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A barrier layer 220 is formed on the surface of the substrate to prevent the diffusion of oxygen and/or moisture, thereby protecting the device. The use of the barrier layer can be avoided if the substrate material can prevent the diffusion of oxygen and/or moisture. Preferably, the barrier is formed on the inner surface (surface facing the device) of the laminate. As such, the substrate protects the barrier layer from damage. The thickness of the barrier should be sufficient to prevent diffusion of oxygen and/or moisture. For flexible applications, the barrier layer should be as thin as possible so as not to hinder the flexibility of the device. Typically, the thickness of the barrier layer is about 5 - 5000 nm. In one embodiment, barrier layers are coated on both sides for more efficient protection.

*In one embodiment, the barrier layer comprises a metallic film such as copper or aluminum. Other materials which can serve as an oxygen and/or moisture barrier, such as ceramic, are also useful. A barrier comprising multiple of different barrier material layers is also useful. The metallic barrier layer can be coated on the substrate by various deposition techniques such as thermal evaporation, sputtering, chemical vapor

deposition (CVD), or plasma enhanced CVD (PECVD).

Alternatively, the barrier film can be glued or laminated directly to the substrate surface. For transparent applications, ~~the barrier layer can comprise~~

5 a dielectric material such as silicon monoxide (SiO),
silicon oxide (SiO_x), silicon dioxide (SiO₂), silicon
nitride (Si_xN_y), or metal oxide such as aluminum oxide
(Al₂O₃). Other dielectric materials which prevent the
diffusion of oxygen and/or moisture are also useful to
10 serve as a barrier layer. The dielectric barrier layer
can be formed on the substrate by various deposition
techniques such as thermal oxidation, CVD, or PECVD.

A sealant or adhesive layer 230 is provided above
the barrier layer. The sealant layer provides adhesion
15 to the surface of the electrical device when compressed
under heated conditions. Preferably, the sealant should
be activated at an elevated temperature, causing the
laminate to adhere to the surface of the device and
sealing the components. To ensure good sealing between
20 the laminate and the device, the sealant should flow
slightly at the activation temperature. The activation
temperature should be below that which damages the
device, such as altering the chemistry and/or physics of
the active components. Preferably, the activation

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temperature of the sealant is as low as possible. For example, the activation temperature is about 80 - 140°C.

In one embodiment, the sealant is a hot melt type adhesive. Polymer mixtures which can include different
5 polymers and/or additives are also useful. Preferably, the sealant comprises ethylene vinyl acetate resins, ethylene ethyl acrylate resins. Other types of sealant, such as low-density polyethylene copolymers including ethylene-vinyl acetate resins, ethylene-ethyl acrylate
10 resins are also useful. The sealant can be coated on the surface of the laminate using conventional techniques.

Optionally, a protective layer 240 can be formed on the outer surface of the laminate. The protective layer
15 comprises, for example, polymeric resin that serves as a hard coating that protects the substrate from being scratched. Alternatively, an adhesive layer can be formed on the outer surface of the substrate for further processing, such as adhering additional layers thereon.
20 These additional layers can include, for example, color filters, polarizers, or anti-glare films.

Figs. 3-5 show a process for encapsulating a device in accordance with one embodiment of the invention.

Referring to Fig. 3, a device 301 is shown. The device,

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for example, comprises a pixelated OLED device. Other electrical devices, such as sensor arrays or MEMS, are also useful. Preferably, the device is formed on a flexible or thin substrate.

5 A first laminate 310 is placed over the device to cover the active components. If necessary, a second laminate 320 is placed on the bottom surface of the device. Depending on the optical requirements, the laminates can be transparent or opaque. For example, a
10 transparent laminate is used on the display surface of the OLED device. The inner surface of the laminates comprises a sealant for sealing the laminate to the surfaces of the device.

Referring to Fig. 4, a laminating tool 401 is
15 provided. The laminating tool, for example, comprises first and second rollers 420 and 425. The rollers can be made of rubber. Other materials such as silicon can also be used. During operation, the rollers are heated and rotated. The rollers rotate in opposite directions,
20 as indicated by the arrows, to pull the device 301 with the laminates thereon through the rollers.

As the device is pulled through the rollers, the laminates are heated and compressed onto the surfaces of the device. The pressure exerted by the rollers should

be sufficient to facilitate sealing without crushing or damaging the device. Typically, the pressure exerted by the rollers is about 1 - 500 kN/m². The laminates are heated to a temperature above the activation temperature of the sealant. The process temperature should be maintained as low as possible, for example, slightly above the sealant's activation temperature. The speed of the rollers can be adjusted to ensure complete sealing of the laminates onto the device.

Referring to Fig. 5, after the device is pulled through the rollers, the encapsulation process is completed to form the device 500 as shown. The present invention, as described, performs encapsulation of the device in an environment free of any evaporable chemicals. This is advantageous as the possibility of corrosion of the active components from chemicals are avoided, thereby improving yields. Further, the encapsulation process can be modified to provide continuous and parallel processing to increase throughput and decrease raw process time. For example, large laminates can be used to sandwich a plurality of devices therebetween. The laminates than are processed through the rollers, encapsulating a plurality of

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devices. The devices can then be separated after encapsulation.

While the invention has been particularly shown and described with reference to various embodiments, it will
5 be recognized by those skilled in the art that modifications and changes may be made to the present invention without departing from the spirit and scope thereof. The scope of the invention should therefore be determined not with reference to the above description
10 but with reference to the appended claims along with their full scope of equivalents.

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